

Leptons in Dirac Spin Networks

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In large networks of Dirac spinors individual spinors show space-time properties relative to quasi-classical clusters of spinors. Three forms of relations between spinors and such clusters are identified. These constitute three families of particle-like configurations, with a mass spectrum in close agreement with the experimental lepton spectrum.

I. INTRODUCTION

In 1971 R. Penrose [1] made an attempt to describe the geometry of space-time in a purely combinatorial way. Penrose studied networks of two-component spinors, which represented the simplest quantum mechanical objects. He was able to show that large clusters of such spinors, generated properties of angular direction in three-dimensional space. Despite this success, the concept of $SU(2)$ based spin networks was not considered rich enough to also describe distance [2]. Penrose's interest, therefore, turned to more complex twistor objects. Nowadays various kinds of spin networks are discussed as models of space-time at Planck scales [3].

This article is based on Dirac spinors. Dirac spinors are understood as four-component spinors. They transform under the operations of the homogeneous Lorentz group by the application of 4×4 -matrices, which are generated from well-known Dirac matrices. They do not have space-time properties, nor do they carry energy-momentum in the usual sense. Two Dirac spinors can be distinguished only by their spin. Two spinors in the same spin state are indistinguishable.

A network of Dirac spinors is a set of Dirac spinors, and an instruction of how to link together spinors, to form clusters with well-defined quantum numbers. The linking of spinors can rapidly lead to complex structures. This article, therefore, analyses some structures of physical interest within very large networks of Dirac spinors. In doing so it takes advantage of laws of large numbers and of symmetry rules.

An elementary rule of quantum mechanics will be used to identify substructures within Dirac spin networks: If a state is a product of two states, corresponding to two subsets of spinors, then the two structures are separable, and can, therefore, be treated as independent quantum mechanical systems. Otherwise the states are *entangled*, and the structure has to be treated as a coherent quantum mechanical system.

II. DIRAC SPINORS AND DE SITTER GROUP

Dirac spinors can be represented by linear combinations with complex coefficients of four-component basic vectors:

$$|u_a\rangle := \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad |u_b\rangle := \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}, \quad (1)$$

$$|v_a\rangle := \begin{pmatrix} 0 \\ 0 \\ 0 \\ 1 \end{pmatrix}, \quad |v_b\rangle := \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \end{pmatrix}. \quad (2)$$

Dirac spinors form a complex linear vector space, which can easily be extended to a Hilbert space H by adding the scalar product

$$\langle \bar{a} | b \rangle \quad \text{with} \quad \langle \bar{a} | = \langle a | \gamma^0, \quad (3)$$

which is well-known from Dirac's theory of electrons.

Dirac's γ -matrices generate symmetry transformations in H . They satisfy the anti-commutation relations

$$\{\gamma_\mu, \gamma_\nu\} = 2g_{\mu\nu} \quad (4)$$

and the commutation relations

$$\frac{i}{2} [\gamma_\mu, \gamma_\nu] = \sigma_{\mu\nu}, \quad (5)$$

where $\mu, \nu = 0, \dots, 3$.

The 4×4 -matrices

$$s_{\mu\nu} := \frac{1}{2} \sigma_{\mu\nu} \quad \text{and} \quad s_{\mu 4} := \frac{1}{2} \gamma_\mu, \quad (6)$$

form an irreducible representation of the *de Sitter group* $\text{SO}(3,2)$ on the Hilbert space of Dirac spinors. The proof of this is by inserting (6) into the commutation relation of $\text{SO}(3,2)$

$$[s_{\mu\nu}, s_{\rho\sigma}] = -i[g_{\mu\rho}s_{\nu\sigma} - g_{\mu\sigma}s_{\nu\rho} + g_{\nu\sigma}s_{\mu\rho} - g_{\nu\rho}s_{\mu\sigma}], \quad (7)$$

$$[s_{\mu 4}, s_{\nu 4}] = -is_{\mu\nu}, \quad (8)$$

$$[s_{\mu\nu}, s_{\rho 4}] = i[g_{\nu\rho}s_{\mu 4} - g_{\mu\rho}s_{\nu 4}]. \quad (9)$$

The Hilbert space H of Dirac spinors, equipped with symmetry transformations generated by the 4×4 -matrices (6), will be the basis for this article.

A system of N Dirac spinors is then described by states in a product Hilbert space $H * \dots * H$. By reducing product representations with respect to $\text{SO}(3,2)$, new representations are obtained with different spin quantum numbers. This process links spinor states to form product states with defined quantum numbers. This process is well-known from quantum mechanics of angular momentum. Within this article a set of N Dirac spinors, described by means of a Hilbert space $H * \dots * H$, and equipped with this linkage will be called a *Dirac spin network*.

III. POINCARÉ GROUP AND SPACE-TIME

Consider a large number of, say $N = 10^{23}$, Dirac spinors, forming product states with large quasi-continuous total quantum numbers. Such a cluster will be called a *macro-object* or simply *object* and its state a *macro-state* for short.

The generators S_{ab} of SO(3,2)-transformations of the macro-objects are defined by the sum over the corresponding operators s_{ab} of the individual spinors.

In the following, the transformations generated by $S_{\mu 4}$ will be restricted to infinitesimal small transformations. This can be expressed by replacing $S_{\mu 4}$ by operators P_μ , defined by

$$S_{\mu 4} = R P_\mu \quad (10)$$

with a (dimensionless) number R , inserting (10) into (8), dividing both sides by R^2 and, finally, taking the limit $R \rightarrow \infty$. Then the commutator (8) is replaced by

$$[P_\mu, P_\nu] = 0 . \quad (11)$$

This limit is known as *contraction limit* or *group contraction* [4].

If R is large but fixed, (11) will not be completely satisfied, but can nevertheless serve as an approximation to (8). In this sense the contraction limit is often used in physical problems. In this way it will be used in the following.

The commutation relations (7), reformulated for $S_{\mu\nu}$,

$$[S_{\mu\nu}, S_{\rho\sigma}] = -i[g_{\mu\rho}S_{\nu\sigma} - g_{\mu\sigma}S_{\nu\rho} + g_{\nu\sigma}S_{\mu\rho} - g_{\nu\rho}S_{\mu\sigma}] , \quad (12)$$

define the homogeneous *Lorentz group* SO(3,1) as a subgroup of SO(3,2). They are not changed by the contraction process. From (9) the commutation relations of P_μ with the generators of Lorentz transformations are obtained

$$[S_{\mu\nu}, P_\rho] = i[g_{\nu\rho}P_\mu - g_{\mu\rho}P_\nu] . \quad (13)$$

(11), (12) and (13) are the commutation relations of the *Poincaré group* P(3,1). The quasi-continuous quantum numbers of SO(3,2) are now replaced by the continuous spectrum of P_μ .

The contraction limit delivers an approximate description of the symmetry group SO(3,2) by the Poincaré group, which is valid for infinitesimal operations generated by $S_{\mu 4}$. Because of the rescaling, these correspond to finite transformations generated by P_μ .

Eigenstates $|P\rangle$ of P_μ have to be considered as approximations to the states of clusters of Dirac spinors. More accurately, they serve as approximations within an infinitesimal small neighbourhood \mathcal{N} of an arbitrarily chosen origin \mathcal{P} , with respect to transformations generated by $S_{\mu 4}$.

These eigenstates can be used to construct new states $|X\rangle$ that are *localised* in space-like directions

$$|X\rangle := (2\pi)^{-3/2} \int_{-\infty}^{\infty} d^3P e^{ix^\mu P_\mu} |P\rangle . \quad (14)$$

When applied to these states, P_μ generate translations by 4-vectors a^μ

$$e^{ia^\mu P_\mu} |X\rangle = |X + a\rangle . \quad (15)$$

The 4-vectors a^μ span a 4-dimensional real vector space with Minkowskian metric, which, with respect to $P(3,1)$, has the transformation properties of *space-time*.

Obviously, space-time is obtained as a property of macro-states. Therefore, in the absence of macro-objects, space-time is not defined.

The quantum mechanical consequences of definition (14) are the well-known commutation relations of momentum and position.

In terms of eigenstates of P_μ , the spin network can now be described in the following way. There are states of representations of the Poincaré group $P(3,1)$, which can be linked to form common states of new representations of $P(3,1)$. In this way a network of momentum states is formed, which spreads out over the 4-momentum space. Momentum space is obtained as a space of *possible* values of quantum numbers that label eigenvectors of P_μ . Through the coverage by a network of momentum states it materialises as a physical entity. A similar consideration applies to the complementary picture defined by localised states (14).

Two macro-states can be *entangled* to form a product state with momentum P

$$|P\rangle = \int d^3q \, c(q) |P_1 - q\rangle |P_2 + q\rangle . \quad (16)$$

The two macro-objects are then linked by the “exchange” of three components of momentum q . They form a coherent system, because their state cannot be written as a product of two states.

Obviously, a macro-object can be entangled also with two or even three other objects as indicated by

$$|P\rangle = \int dq_1 dq_2 dq_3 \, c(q) |P_1 - (q_1, q_2, q_3)\rangle |P_2 + (q_1, 0, q_3)\rangle |P_3 + (0, q_2, 0)\rangle \quad (17)$$

and

$$|P\rangle = \int dq_1 dq_2 dq_3 \, c(q) |P_1 - (q_1, q_2, q_3)\rangle |P_2 + (q_1, 0, 0)\rangle |P_3 + (0, q_2, 0)\rangle |P_4 + (0, 0, q_3)\rangle . \quad (18)$$

In all cases the three components of momentum act like chemical valences, allowing the first subsystem to link to a maximum of three other subsystems.

Entanglement of states is a typical quantum mechanical phenomenon, with impressive applications in quantum computing, encryption and even “teleportation” [5]. Below it will be shown that the type of entanglement, as defined by (16), (17) or (18), significantly influences the formation of *particles in space-time*.

IV. PARTICLES IN SPACE-TIME

Consider a macro-object of N Dirac spinors with total momentum P . Then add another spinor, described by a 4-component vector ψ_s to the system. Within the Poincaré approximation the combined state describes a macro-object of $N + 1$ spinors with a slightly different momentum $P + p$. Therefore, *relative to the macro-object* the spinor has a momentum p .

Now apply a Lorentz transformation to the combined system. Then both momenta P and p transform as 4-vectors, whereas ψ_s transforms as a Dirac spinor. From the Dirac theory of electrons it is known that under such a transformation the product $\gamma^\mu p_\mu$ is invariant.

A numerical value can easily be assigned to this invariant. The energy operator of the combined system is defined as the approximation to the operator $L_{04} + \frac{1}{2}\gamma_0$. With a spinor state $\psi = (1, 0, 0, 0)$ and $\gamma_0 = \text{diag}(1, 1, -1, -1)$, the value $\frac{1}{2}$ is obtained as the contribution of the spinor to the energy of the macro-object, in units of SO(3,2) quantum numbers. Hence, the state ψ of a Dirac spinor and its contribution p to the momentum of a macro-object must obey the Dirac equation

$$(\gamma^\mu p_\mu - m)|\psi_s\rangle = 0, \quad (19)$$

with mass $m = \frac{1}{2}$, if p is measured in the same units as m .

The mass m is dimensionless. It is defined in “units of quantum numbers”. Values in units of a mass will be obtained, when a “standard” i.e. the mass of a special particle is determined, to which all further measurements of masses are referred. Obviously, a candidate for such a reference has just been found.

Since the value of the momentum p and the associated spinor state ψ_s do not depend on any specific property of the macro-object, it makes sense to label the spinor state with this value of p and write $\psi_s(p)$. This is more than a formal act. Since these spinor states, when attached to macro-states, add the momentum p to the macro-object, it can be said that, in the environment of macro-objects, these spinor states “carry” a momentum of p . Recoupling a spinor state from one macro-object to another, therefore, describes the transport of 4-momentum.

The new degrees-of-freedom, expressed by the momentum p , of course, do not belong to the spinor itself. Nor do they stand for degrees-of-freedom within the linking of the spinor to the macro-object. What they express, are degrees-of-freedom in the linkage of the macro-object to the observing system. This is evident from the way the spectrum of p has been generated by applying a Lorentz transformation to the combined system of spinor and macro-object. Alternatively, the Lorentz transformation could have been applied to the observing system. Generally spoken: the momentum degrees-of-freedom describe the degrees-of-freedom in embedding the spinor into a network of macroscopic clusters of spinors. A descriptive way of looking at p is, obviously, to understand it as an *orbital momentum* relative to the observing system.

The way space-time properties of a Dirac spinor have been introduced may appear rather coarse. However, as long as the approximate Poincaré invariance is valid, this, at least, delivers an adequate description of such processes that are determined by the exchange of energy-momentum between a single Dirac spinor and macro-objects. In other words, a description of “free” particles has been obtained. Of course, this model is not adequate for the description of, i.e. two “interacting” particles without the inclusion of suitable “higher order corrections”. Steps in this direction have been described in [6,7].

What can be said about the statistics of these particles? By definition, spinors cannot be distinguished except by the spin variable. Therefore, when two spinors are interchanged, their common state does not change, except for a phase factor. The interchange of spinors in space-time can be

performed by a rotation of 180 degrees with respect to a symmetry axis of the system. A simple calculation delivers a phase factor i for each spinor. Then the total state is changed by a factor of -1 . Therefore, the particles are subjected to Fermi statistics. This derivation of Pauli's principle goes back to A. A. Broyles [8] in 1976. Later it was used, obviously independently, by Feynman and Weinberg [9].

Summarising it can be stated that spinors, when described in relation to macro-objects, show properties of spin-1/2 fermions in space-time.

V. MASS SPECTRUM

In the last section, a mass-scale was found, which will now be used to determine mass relations with more complex configurations.

Assume that the observing system is linked by forms (17) or (18) to two or three macro-objects, respectively, with an additional spinor linked to this aggregate of macro-objects. In order to compare the multi-object configurations to the single object situation treated above, they must be reduced to, eventually a direct sum of, representations of the Poincaré group. Then in each representation, a partial mass will be found with the same value of $1/2$. The effective mass is then determined by evaluating the sum of representations within the direct sum. Although each representation may contribute a different momentum, the number of representations should not depend on the momenta involved. Therefore, the determination of the effective mass, primarily means finding all representations of $P(3,1)$ that contribute to the multi-object situation. To this aim, first a $SO(3,2)$ representation will be decomposed into a set of $SO(3,1)$ representations.

Let S denote the group of all $SO(3,2)$ transformations. Let L denote the group of Lorentz transformations of $SO(3,2)$, which forms a subgroup of S , and let P denote the transformations of the (approximate) Poincaré group $P(3,1)$.

Consider a macro-object with state $|\Phi\rangle$ in a $SO(3,2)$ -symmetric Hilbert space H_S . Assume that in the neighbourhood \mathcal{N} of the origin \mathcal{P} this state is approximated by a momentum eigenstate. When all Lorentz transformations L are applied to this state, a Hilbert space H_L , "local" to \mathcal{N} , as a subspace of H_S , is obtained.

If a (finite) transformation $s \in S, s \notin L$ is applied to a state of H_L , a new state is generated, which is not in H_L . Therefore, by applying transformations of the *coset* LS , a non-equivalent Hilbert space H_L^s , local to $s\mathcal{N}$, is obtained. There is a one-to-one relation between cosets LS and Hilbert spaces H_L^s . The set of all cosets LS generates the total Hilbert space H_S .

The set of cosets forms a *homogeneous space* S/L , where S acts transitive on this space and L is the isotropy group of the origin \mathcal{P} ; the projection $\pi : S \rightarrow S/L$ makes S a principle bundle on S/L with fiber L [10].

Adding up all the non-equivalent H_L^s means an integration over the homogeneous space S/L . The integral delivers a decomposition of H_S in terms of H_L^s

$$H_S = \int d\Omega H_L^s = \int ds \frac{d\Omega}{ds} H_L^s, \quad (20)$$

where $d\Omega$ is the infinitesimal volume element in S/L . The Jacobian $d\Omega/ds$ is a measure of the number of non-equivalent Hilbert spaces H_L^s obtained by an infinitesimal transformation ds .

Integrating a constant C over S/L results in the product of C with the volume V of S/L

$$\int C d\Omega = C \int ds \frac{d\Omega}{ds} = C V(S/L) . \quad (21)$$

With a properly chosen parameterisation, such that the Jacobian does not depend on s and $\int ds = 1$, $V(S/L)$ is identical to the Jacobian in (20). The volume of S/L has been calculated in [11]

$$V(S/L) = \frac{16\pi}{3} . \quad (22)$$

Volumes of homogeneous spaces were systematically calculated by L. K. Hua [12,13]. They have been used with some success in semi-empirical mass formula for more than three decades [14,15].

Recently G. González-Martín [11,16] (G-M in the following) has obtained mass relations, based on an universal structure group $SL(4, \mathbb{R})$. G-M's idea is that the structure group describes a “substrate”, from which particles are generated as “excitations” with certain symmetric and topological properties, which are associated with subgroups of the structure group.

G-M has found a mass formula for the three massive leptons

$$m_n = 4\pi \left(\frac{16\pi}{3} \right)^n m_e \quad n = 1, 2 , \quad (23)$$

where m_e is the electron mass and m_1 stands for the myon mass, m_2 to the tauon mass. With the experimental electron mass of 0.5109989 MeV, G-M obtains $m_\mu = 107,5916$ MeV and $m_\tau = 1770,3$ MeV. (The experimental values are 105,658 and 1776,99.)

It will be shown that the decomposition (20) of H_S leads directly to an identical mass formula. The proof is as follows.

In the case of two or three macro-objects, the Hilbert space H_S is obtained from the direct product of individual Hilbert spaces $H_S^{(1)}, H_S^{(2)}$, and eventually $H_S^{(3)}$. With the decomposition (20) of each Hilbert space, the integrals contain products of volume factors (Jacobians) $V(S/L)$. The following factors correspond to one, two and three macro-objects, respectively,

$$\left(\frac{16\pi}{3} \right), \left(\frac{16\pi}{3} \right)^2 \quad \text{and} \quad \left(\frac{16\pi}{3} \right)^3 . \quad (24)$$

Since the effective spinor mass is determined relative to macro-states in \mathcal{N} , only the Hilbert space H_L , local to \mathcal{N} , will contribute. Therefore, when H_S is decomposed into local Hilbert spaces H_L^s , all H_L^s with $s \notin L$ can be dropped. This step eliminates one integration over S/L and, therefore, divides the Jacobians in (24) by a factor of $V(S/L)$.

Next remember that spinors are attached to macro-states of representations of $P(3,1)$, rather than of $SO(3,1)$. Representations of $P(3,1)$ are obtained from representations of $SO(3,1)$ by applying infinitesimal transformations $t \in S$. By adding transformations t to L , again cosets Lt can be defined, forming a homogeneous space P/L with a volume [16]

$$V(P/L) = V(U(1)) = 4\pi . \quad (25)$$

In multi-object cases, this adds an integration over the homogeneous space P/L with a Jacobian of $V(P/L)$.

A scaling factor, including both steps, is then given by

$$\frac{V(P/L)}{V(S/L)} = 4\pi \frac{3}{16\pi} = 3/4. \quad (26)$$

In the one-object case, a modification of this factor is required. Here, infinitesimal t applied to H_L are approximated by translations. These do not affect momentum eigenstates, except by a phase factor. This means, the addition of a translation t to L generates a Hilbert space H_L^t that is identical to H_L . Therefore, in the one-object case, $V(P/L)$ has to be replaced by 1. Instead of (26), the scaling factor

$$\frac{1}{V(S/L)} = \frac{3}{16\pi} \quad (27)$$

must be used.

In multi-object cases, an infinitesimal t , applied to an individual $H_L^{(i)s}$, generates a mixture of translations, rotations and boost operations in $H_L^{(i)s}$. This is a consequence of the commutation relations between the generators of t and (finite!) transformations s . Such changes of the individual states, in general, cannot be reduced to translations in $H_L^{(i)}$. Therefore, in these cases the factor (26) does apply.

Multiplying the terms in (24) by the appropriate factors (27) and (26), results in the following volume factors

$$1, \quad 4\pi \left(\frac{16\pi}{3}\right) \quad \text{and} \quad 4\pi \left(\frac{16\pi}{3}\right)^2. \quad (28)$$

This means, in \mathcal{N} the entanglement of two and three macro-states generate a direct sum of non-equivalent Hilbert spaces H_P , with a multiplicity determined by an integral over a parameter space with a Jacobian given by (28).

The spinor, linked to a multi-object configuration, is linked to every momentum eigenstate in each Hilbert space H_P . It delivers a contribution to the mass of $m_e = 1/2$ in each H_P . The total effective spinor mass is then determined by an integral over a volume corresponding to one of the volume factors of (28). But since the spinor mass is the same in each H_P , the integral can be replaced by a multiplication of m_e by the volume factors of (28). This reproduces G-M's mass relations (23).

The mathematical steps performed before can be illustrated in the following way: Starting from a neighbourhood \mathcal{N} of point \mathcal{P} , a macro-state is selected and Lorentz transformations in \mathcal{N} are applied to this state. This generates a Hilbert space H_L . In \mathcal{N} the states of H_L are locally approximated by momentum eigenstates in the tangential plane at \mathcal{P} . A transformation s generates a new Hilbert space H_L^s . In $s\mathcal{N}$ its states can again be approximated by momentum eigenstates in the tangential plane of $s\mathcal{P}$. In this way a coverage of H_S by a set of H_L^s is obtained. The coverage allows for a piecewise approximation of any state of H_S by (linear combinations of) momentum eigenstates in neighbourhoods $s\mathcal{N}$ of $s\mathcal{P}$. Any state is thereby decomposed into a direct sum of "local" states in H_L^s . By forming a direct product of two such states, each local state of one object is multiplied

by each local state of the other. Then all product states are collected into matching tangential planes. Finally, a spinor is linked to the resulting momentum eigenstates in the tangential plane at \mathcal{P} . The multiplicity of these momentum eigenstates is given by factors of (28), which result from the piecewise decomposition (20).

The fact that the mass relations agree with experimental data suggests an identification of the three configurations with a representation of massive leptons. The actual existence of the lepton mass spectrum then delivers strong experimental support for the concept of a spin network with a basic $SO(3,2)$ symmetry, as presented here.

The preceding statements, in principle, apply also to the massless solutions of the Dirac equation. Therefore, each massive lepton is accompanied by a massless, neutrino-like lepton. Hence, the three types of linkage between macro-objects, which are provided by the three components of momentum, generate *three families of leptons*.

VI. CONCLUSION

In this article a rudimentary quantum mechanical theory of space-time has been presented. Minkowskian space-time has been obtained in a rather unspectacular way, as a flat-space approximation for quasi-classical clusters of Dirac spinors. This approximation is valid for the neighbourhood of any given point in space-time. It is evident from the structure of the basic symmetry group that for larger translations, both in space and time, the flat-space approximation will no longer be valid. This means, higher approximations will result in a curved space-time structure. But even then, space-time will very likely have to be derived as a property of quasi-classical clusters of spinors. This will be the subject of further investigations.

In a quantum theory of space-time, one may expect to find a quantised analogue of the classical space-time structure. The network of Dirac spinors seems to serve this purpose, though not in space-time, but in the complementary space of 4-momentum. However, there is no fine-structure of space-time itself, as might have been expected, for example some kind of “quantum foams” or indications of “quantum loops”. The reason is quite simple: space-time has been derived as a *property of quasi-classical structures*, and not as an independent physical entity. It is a space made up by the values of parameters, which describe finite transformations of a symmetry group, applied to states with quasi-continuous quantum numbers. Obviously, it does not make sense to ask for any underlying fine-structure of plain parameters. Of course, the spinor elements, which make up the quasi-classical structures, form a fine-structure. But this certainly does not imply any fine-structure of space-time itself, but rather of the matter that is embedded in space-time. In this sense, individual spinors are manifestations of “internal quantum numbers”, whereas large clusters of spinors develop space-time as a collective property.

For more than three decades, spin networks have been considered a promising basis for a fundamental theory of space-time. Unfortunately, such a theory has been sought for at scales of the Planck length. This has made it virtually impossible to obtain any seminal support from experimental observations. The approach, presented in this article, has introduced a spin network at

the sub-atomic level, with the clear advantage that its results can directly be compared with the experiments of particle physics. In this sense, the actual observation of the lepton mass spectrum, delivers experimental evidence in favour of the approach and its interpretation presented here.

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